

**Report for RBC Wealth Management, a division of RBC  
Capital Markets, LLC  
SEC Rule 606 Report Disclosure  
3rd Quarter, 2017**

<b>NYSE Listed Securities</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Virtu Financial	30.71%	32.79%	15.83%	29.06%
G1 Execution Services, LLC	29.25%	31.56%	11.62%	36.31%
UBS Capital Markets	21.31%	15.40%	64.91%	15.83%
Citadel Securities, LLC	18.73%	20.26%	7.64%	18.81%
Total Orders	100.00%	86.69%	11.92%	1.39%

\* Orders for which customer requests special handling

<b>NASDAQ Listed Securities</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
G1 Execution Services, LLC	35.10%	36.99%	19.94%	37.17%
Virtu Financial	29.53%	29.78%	26.80%	33.73%
Citadel Securities, LLC	21.86%	23.20%	11.95%	17.96%
UBS Capital Markets	13.51%	10.02%	41.31%	11.14%
Total Orders	100.00%	87.04%	11.10%	1.86%

\* Orders for which customer requests special handling

<b>Securities Listed on NYSE MKT/Regional Exchanges</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
G1 Execution Services, LLC	28.42%	31.38%	9.47%	34.26%
Virtu Financial	26.13%	28.22%	13.48%	21.40%
UBS Capital Markets	24.17%	16.84%	69.74%	25.56%
Citadel Securities, LLC	21.28%	23.56%	7.31%	18.78%
Total Orders	100.00%	85.12%	13.66%	1.22%

\* Orders for which customer requests special handling

<b>Exchange-Listed Options</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Citigroup Global Markets, Inc.	66.80%	84.75%	56.55%	96.21%
Wolverine Execution Services, LLC	20.61%	0.00%	31.85%	0.00%
Citadel Securities, LLC	11.06%	15.25%	9.24%	3.79%
Total Orders	100.00%	32.60%	64.73%	2.67%

\* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

