

**Report for Morgan Stanley Wealth Management**  
**SEC Rule 606 Report Disclosure**  
**3rd Quarter, 2017**

<b>NYSE Listed Securities</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
MSOT	82.53%	82.22%	81.89%	89.90%
Citadel Securities LLC	14.00%	14.18%	14.37%	9.68%
KCG Americas, LLC	2.94%	3.12%	2.17%	0.07%
Two Sigma Securities	0.53%	0.48%	1.57%	0.35%
Total Orders	96.33%	91.13%	4.59%	4.28%

\* Orders for which customer requests special handling

<b>NASDAQ Listed Securities</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
MSOT	82.60%	82.27%	82.16%	90.12%
Citadel Securities LLC	12.37%	12.35%	13.91%	9.27%
KCG Americas, LLC	2.98%	3.20%	2.36%	0.08%
Two Sigma Securities	2.05%	2.18%	1.56%	0.53%
Total Orders	99.32%	85.71%	9.88%	4.40%

\* Orders for which customer requests special handling

<b>Securities Listed on NYSE MKT/Regional Exchanges</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
MSOT	81.42%	81.22%	82.97%	86.52%
Citadel Securities LLC	13.66%	13.69%	13.27%	13.12%
KCG Americas, LLC	3.06%	3.18%	2.01%	0.06%
Two Sigma Securities	1.87%	1.91%	1.76%	0.30%
Total Orders	99.95%	94.24%	3.10%	2.66%

\* Orders for which customer requests special handling

<b>Exchange-Listed Options</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
MSOP	84.79%	83.82%	84.83%	96.03%
Citadel Securities, LLC	13.23%	13.70%	13.52%	2.40%
CTGO	1.98%	2.48%	1.65%	1.57%
Total Orders	99.25%	40.38%	56.37%	3.25%

\* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

