

SEC Rule 606 Report - Morgan Stanley Wealth Management - 3rd Qtr, 2016

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

Securities Listed on New York Stock Exchange Euronext

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	* Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	25.71	2.75	71.53	3,977,117	1,022,584	109,542	2,844,991	0	25.71	2.75	71.53
Morgan Stanley & Co	85.84	85.23	81.42	86.23	3,413,898	871,499	89,188	2,453,211	0	25.53	2.61	71.86
Citadel Securities	13.26	13.49	12.58	13.20	527,202	137,900	13,780	375,522	0	26.16	2.61	71.23

100.00% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	* Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	22.13	3.84	74.02	1,760,552	389,648	67,692	1,303,212	0	22.13	3.84	74.02
Morgan Stanley & Co	85.85	85.26	83.67	86.14	1,511,384	332,209	56,641	1,122,534	0	21.98	3.75	74.27
Citadel Securities	13.23	13.59	13.05	13.14	233,000	52,961	8,837	171,202	0	22.73	3.79	73.48

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE MKT or other National Securities Exchange

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	* Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	31.23	2.01	66.76	1,167,585	364,639	23,428	779,518	0	31.23	2.01	66.76
Morgan Stanley & Co	86.48	86.25	86.43	86.60	1,009,780	314,507	20,248	675,025	0	31.15	2.01	66.85
Citadel Securities	13.05	13.34	13.01	12.92	152,366	48,630	3,048	100,688	0	31.92	2.00	66.08

100.00% of total customer orders were non-directed orders.

Exchange-Listed Options

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	* Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	38.76	61.12	0.13	828,923	321,287	506,598	1,038	0	38.76	61.12	0.13
Morgan Stanley & Co	85.61	85.60	85.64	70.52	709,601	275,017	433,852	732	0	38.76	61.14	0.10
Citadel Securities	6.44	6.47	6.43	5.88	53,408	20,783	32,564	61	0	38.91	60.97	0.11
CDRO	6.16	6.22	6.13	5.49	51,081	19,978	31,046	57	0	39.11	60.78	0.11

100.00% of total customer orders were non-directed orders.

*Unknown is part of Other.

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