

# Report for Morgan Stanley Wealth Management

## SEC Rule 606 Report Disclosure

### 1st Quarter, 2018

<b>NYSE Listed Securities</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
MSOT	82.74%	82.41%	82.48%	89.96%
Citadel Securities LLC	13.87%	14.06%	13.93%	9.71%
Virtu Financial, Inc.	2.97%	3.14%	2.26%	0.05%
Two Sigma Securities, LLC	0.43%	0.39%	1.33%	0.27%
Total Orders	100.00%	91.44%	4.19%	4.36%

\* Orders for which customer requests special handling

<b>NASDAQ Listed Securities</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
MSOT	82.69%	82.34%	82.69%	89.90%
Citadel Securities LLC	12.48%	12.47%	13.87%	9.57%
Virtu Financial, Inc.	2.99%	3.22%	2.16%	0.09%
Two Sigma Securities, LLC	1.84%	1.97%	1.28%	0.44%
Total Orders	100.00%	86.58%	9.22%	4.20%

\* Orders for which customer requests special handling

<b>Securities Listed on NYSE MKT/Regional Exchanges</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
MSOT	81.68%	81.50%	82.96%	86.08%
Citadel Securities LLC	13.62%	13.64%	13.24%	13.52%
Virtu Financial, Inc.	3.12%	3.25%	2.37%	0.05%
Two Sigma Securities, LLC	1.58%	1.62%	1.43%	0.35%
Total Orders	100.00%	94.22%	2.73%	3.05%

\* Orders for which customer requests special handling

<b>Exchange-Listed Options</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
MSOP	84.73%	84.23%	84.49%	95.35%
Citadel Securities, LLC	13.23%	13.40%	13.73%	2.62%
CTGO	1.75%	2.08%	1.47%	1.97%
Wolverine Execution Services LLC	0.29%	0.29%	0.30%	0.06%
Total Orders	99.66%	42.99%	53.84%	3.17%

\* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

