

Report for U.S. Bancorp Investments, Inc.
SEC Rule 606 Report Disclosure
4th Quarter, 2019

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Execution Services	41.25%	41.13%	40.66%	45.76%
UBS	31.38%	30.99%	33.21%	33.81%
Virtu Americas, LLC	16.94%	17.20%	16.05%	14.12%
G1 Execution Services, LLC	10.44%	10.68%	10.08%	6.31%
Total Orders	100.00%	83.62%	12.59%	3.78%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Execution Services	44.68%	44.35%	46.66%	45.38%
G1 Execution Services, LLC	22.83%	23.80%	17.81%	17.38%
UBS	18.62%	18.95%	16.22%	20.50%
Virtu Americas, LLC	13.86%	12.90%	19.31%	16.75%
G1 Execution Services	0.00%	0.00%	0.00%	0.00%
Total Orders	100.00%	84.03%	13.44%	2.52%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
UBS	51.82%	52.31%	27.68%	38.05%
Citadel Execution Services	29.14%	28.99%	35.03%	35.91%
Virtu Americas, LLC	14.68%	14.45%	26.73%	19.60%
G1 Execution Services, LLC	4.36%	4.25%	10.47%	6.44%
Total Orders	100.00%	97.65%	1.46%	0.88%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Pershing	100.00%	100.00%	100.00%	100.00%
Total Orders	100.00%	61.98%	35.84%	2.18%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

