

Report for U.S. Bancorp Investments, Inc.
SEC Rule 606 Report Disclosure
3rd Quarter, 2019

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Securities, LLC	55.95%	55.86%	55.25%	60.51%
UBS	29.74%	29.70%	30.22%	28.97%
Virtu Financial, Inc.	12.78%	12.95%	12.77%	8.72%
G1 Execution Services, LLC	1.53%	1.49%	1.76%	1.79%
Total Orders	100.00%	84.72%	11.74%	3.54%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Securities, LLC	54.79%	54.46%	56.47%	56.91%
UBS	29.70%	29.97%	27.56%	31.57%
Virtu Financial, Inc.	9.55%	8.87%	13.86%	9.87%
G1 Execution Services, LLC	5.96%	6.69%	2.11%	1.66%
Total Orders	100.00%	84.31%	13.02%	2.67%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Securities, LLC	65.24%	65.26%	64.46%	63.25%
UBS	21.27%	21.30%	18.87%	20.81%
Virtu Financial, Inc.	8.91%	8.80%	15.93%	12.20%
G1 Execution Services, LLC	4.58%	4.64%	0.73%	3.74%
Total Orders	100.00%	98.21%	1.23%	0.56%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Pershing	100.00%	100.00%	100.00%	100.00%
Total Orders	100.00%	46.49%	51.05%	2.46%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

