

Report for U.S. Bancorp Investments, Inc.
SEC Rule 606 Report Disclosure
2nd Quarter, 2019

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Securities, LLC	57.98%	57.40%	61.89%	57.82%
UBS	27.89%	28.14%	25.84%	29.43%
Virtu Financial, Inc.	11.82%	11.95%	10.92%	12.03%
Cantor Fitzgerald & Co.	2.31%	2.52%	1.36%	0.72%
Total Orders	100.00%	84.01%	12.56%	3.43%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Securities, LLC	56.32%	55.65%	58.60%	66.09%
UBS	29.76%	30.30%	27.73%	22.60%
Virtu Financial, Inc.	12.50%	12.49%	12.92%	11.10%
Cantor Fitzgerald & Co.	1.42%	1.56%	0.75%	0.20%
Total Orders	100.00%	84.48%	12.66%	2.86%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Securities, LLC	64.20%	64.13%	66.67%	68.05%
UBS	22.05%	22.20%	15.03%	16.73%
Virtu Financial, Inc.	13.62%	13.54%	17.83%	15.23%
Cantor Fitzgerald & Co.	0.13%	0.13%	0.47%	0.00%
Total Orders	100.00%	97.71%	1.53%	0.76%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Pershing	100.00%	100.00%	100.00%	100.00%
Total Orders	100.00%	37.92%	59.46%	2.62%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

