

Report for U.S. Bancorp Investments, Inc.
SEC Rule 606 Report Disclosure
1st Quarter, 2019

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Securities, LLC	54.22%	53.73%	56.78%	58.72%
UBS	23.19%	23.06%	23.91%	24.44%
Virtu Financial, Inc.	12.41%	12.28%	13.19%	13.33%
Cantor Fitzgerald & Co.	10.19%	10.94%	6.12%	3.50%
Total Orders	100.00%	86.43%	9.96%	3.62%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Securities, LLC	62.11%	62.39%	59.59%	59.23%
UBS	23.33%	23.45%	22.84%	20.05%
Virtu Financial, Inc.	8.98%	8.48%	12.66%	16.78%
Cantor Fitzgerald & Co.	5.59%	5.68%	4.91%	3.94%
Total Orders	100.00%	90.21%	7.62%	2.17%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Securities, LLC	67.37%	67.33%	65.89%	76.15%
UBS	19.17%	19.23%	15.43%	14.50%
Virtu Financial, Inc.	13.45%	13.44%	17.77%	9.17%
Cantor Fitzgerald & Co.	0.01%	0.00%	0.91%	0.18%
Total Orders	100.00%	98.60%	0.82%	0.58%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Pershing	100.00%	100.00%	100.00%	100.00%
Total Orders	100.00%	43.53%	54.06%	2.41%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

