

**Report for RBC Wealth Management, a division of RBC
Capital Markets, LLC
SEC Rule 606 Report Disclosure
4th Quarter, 2016**

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
G1 Execution Services, LLC	43.43%	44.61%	29.99%	45.41%
KCG Americas, LLC	26.97%	26.74%	29.51%	26.78%
Citadel Securities LLC	21.99%	22.75%	13.52%	21.61%
UBS Capital Markets	7.61%	5.89%	26.98%	6.20%
Total Orders	100.00%	90.64%	8.14%	1.23%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
G1 Execution Services, LLC	42.64%	45.10%	26.64%	38.82%
KCG Americas, LLC	26.30%	25.87%	28.31%	34.11%
Citadel Securities LLC	16.68%	17.51%	11.18%	16.26%
UBS Capital Markets	14.38%	11.51%	33.86%	10.81%
Total Orders	100.00%	85.71%	12.87%	1.42%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
G1 Execution Services, LLC	50.56%	51.52%	36.54%	61.94%
Citadel Securities LLC	27.63%	27.89%	26.59%	15.87%
KCG Americas, LLC	17.53%	17.14%	22.02%	19.55%
Total Orders	100.00%	91.21%	7.41%	1.38%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citigroup Global Markets, Inc.	88.87%	88.21%	88.82%	99.17%
Citadel Securities LLC	11.13%	11.79%	11.18%	0.83%
Total Orders	99.99%	40.31%	56.86%	2.83%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

