

Report for SEC Rule 606 Report Disclosure 3rd Quarter, 2016

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
KCG Americas, LLC	39.12%	34.89%	47.64%	47.75%
G1 Execution Services, LLC	34.62%	40.91%	21.14%	28.91%
Citadel Securities LLC	15.59%	16.16%	14.20%	16.59%
UBS Capital Markets	10.47%	8.04%	16.37%	6.74%
Total Orders	99.99%	66.85%	29.71%	3.44%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
KCG Americas, LLC	44.96%	40.31%	50.07%	50.83%
G1 Execution Services, LLC	31.15%	34.91%	27.07%	25.23%
UBS Capital Markets	12.21%	11.37%	13.21%	12.20%
Citadel Securities LLC	11.53%	13.40%	9.32%	11.73%
Total Orders	100.00%	52.62%	44.67%	2.71%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
KCG Americas, LLC	41.78%	35.67%	62.60%	54.71%
G1 Execution Services, LLC	34.34%	38.95%	17.45%	32.83%
Citadel Securities LLC	17.25%	19.34%	10.47%	10.18%
UBS Capital Markets	6.42%	6.03%	8.44%	2.28%
Total Orders	100.00%	76.46%	20.61%	2.93%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citigroup Global Markets, Inc.	90.49%	89.69%	90.48%	99.19%
Citadel Securities LLC	9.51%	10.31%	9.52%	0.81%
Total Orders	100.00%	16.74%	81.58%	1.68%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

