

**Report for Newedge
SEC Rule 606 Report Disclosure
3rd Quarter, 2013**

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Bats Trading, Inc.	91.86%	32.00%	92.19%	0.00%
New York Stock Exchange	3.56%	28.00%	3.55%	4.46%
NYSE/Arca	1.67%	4.00%	1.51%	47.77%
INET ECN	1.00%	8.00%	1.00%	0.00%
Knight Capital Markets	0.98%	24.00%	0.92%	15.92%
Direct Edge X ECN LLC	0.58%	4.00%	0.58%	0.00%
Direct Edge A ECN LLC	0.21%	0.00%	0.21%	0.00%
BNY Brokerage Inc.	0.11%	0.00%	0.00%	31.85%
Bats Y Exchange	0.04%	0.00%	0.04%	0.00%
Total Orders	100.00%	0.00%	0.00%	0.00%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Bats Trading, Inc.	39.10%	80.00%	47.00%	0.00%
INET ECN	20.98%	6.67%	25.85%	3.23%
NYSE/Arca	19.76%	6.67%	13.32%	48.39%
Direct Edge X ECN LLC	9.78%	0.00%	12.53%	0.00%
BNY Brokerage Inc.	5.50%	0.00%	0.00%	29.03%
Knight Capital Markets	3.87%	0.00%	0.26%	19.35%
Direct Edge A ECN LLC	0.81%	0.00%	1.04%	0.00%
Bats Y Exchange	0.20%	6.67%	0.00%	0.00%
Total Orders	100.00%	0.00%	0.00%	0.00%

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Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Bats Trading, Inc.	61.75%	45.16%	63.49%	0.00%
Knight Capital Markets	23.75%	45.16%	21.80%	50.00%
NYSE/Arca	11.75%	9.68%	11.72%	50.00%
Direct Edge X ECN LLC	1.75%	0.00%	1.91%	0.00%
Direct Edge A ECN LLC	0.75%	0.00%	0.82%	0.00%
Bats Y Exchange	0.25%	0.00%	0.27%	0.00%
Total Orders	100.00%	0.00%	0.00%	0.00%

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Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Susquehanna Capital Group	31.83%	55.59%	31.55%	0.00%
Chicago Board Options Exchange	30.04%	9.50%	30.29%	0.00%
NYSE/Arca	24.46%	15.08%	24.57%	0.00%
Philadelphia Stock Exchange	4.73%	6.98%	4.70%	0.00%
Knight Capital Markets	4.13%	5.31%	4.12%	0.00%
International Securities Exchange	1.44%	4.19%	1.41%	0.00%
NASDAQ Options Market	1.35%	0.00%	1.37%	0.00%
American Stock Exchange	0.99%	3.35%	0.96%	0.00%
C2 Options Exchange	0.98%	0.00%	1.00%	0.00%
Boston Options Exchange	0.04%	0.00%	0.04%	0.00%
Total Orders	100.00%	0.00%	0.00%	0.00%

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[Material Aspects of Relationships with Route Venues](#)

