

**Report for U.S. Bancorp Investments, Inc.**  
**SEC Rule 606 Report Disclosure**  
**4th Quarter, 2018**

<b>NYSE Listed Securities</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Citadel Securities, LLC	56.39%	56.13%	56.55%	65.21%
UBS	20.89%	20.62%	24.03%	21.82%
Virtu Financial, Inc.	12.43%	12.38%	14.17%	9.29%
Cantor Fitzgerald & Co.	10.29%	10.87%	5.25%	3.68%
Total Orders	100.00%	90.42%	7.05%	2.53%

\* Orders for which customer requests special handling

<b>NASDAQ Listed Securities</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Citadel Securities, LLC	62.61%	62.31%	65.54%	66.05%
UBS	17.47%	17.47%	18.12%	14.99%
Cantor Fitzgerald & Co.	10.08%	10.50%	6.10%	5.09%
Virtu Financial, Inc.	9.83%	9.72%	10.24%	13.86%
Total Orders	100.00%	90.86%	7.35%	1.78%

\* Orders for which customer requests special handling

<b>Securities Listed on NYSE MKT/Regional Exchanges</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Citadel Securities, LLC	65.72%	65.70%	66.07%	68.08%
UBS	20.80%	20.86%	18.16%	18.24%
Virtu Financial, Inc.	12.95%	12.92%	15.41%	12.70%
Cantor Fitzgerald & Co.	0.52%	0.52%	0.36%	0.98%
Total Orders	100.00%	97.67%	1.34%	0.98%

\* Orders for which customer requests special handling

<b>Exchange-Listed Options</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Pershing	100.00%	100.00%	100.00%	100.00%
Total Orders	100.00%	40.45%	57.80%	1.75%

\* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

