

**Report for U.S. Bancorp Investments, Inc.**  
**SEC Rule 606 Report Disclosure**  
**3rd Quarter, 2018**

<b>NYSE Listed Securities</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Citadel Securities, LLC	43.45%	43.56%	39.88%	52.76%
Virtu Financial, Inc.	23.20%	23.59%	20.75%	18.92%
UBS	22.69%	21.32%	34.55%	25.38%
Cantor Fitzgerald & Co.	10.66%	11.52%	4.82%	2.94%
Total Orders	100.00%	87.81%	9.60%	2.59%

\* Orders for which customer requests special handling

<b>NASDAQ Listed Securities</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Citadel Securities, LLC	54.87%	55.70%	48.62%	51.18%
Virtu Financial, Inc.	17.77%	17.26%	21.65%	19.81%
UBS	15.16%	14.05%	23.57%	19.81%
Cantor Fitzgerald & Co.	12.20%	12.99%	6.16%	9.21%
Total Orders	100.00%	87.09%	9.82%	3.09%

\* Orders for which customer requests special handling

<b>Securities Listed on NYSE MKT/Regional Exchanges</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Citadel Securities, LLC	46.32%	46.15%	45.03%	58.94%
Virtu Financial, Inc.	27.84%	27.95%	27.82%	20.60%
UBS	23.05%	23.08%	24.87%	19.24%
Cantor Fitzgerald & Co.	2.78%	2.81%	2.28%	1.23%
Total Orders	100.00%	97.02%	1.50%	1.48%

\* Orders for which customer requests special handling

<b>Exchange-Listed Options</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Pershing	100.00%	100.00%	100.00%	100.00%
Total Orders	100.00%	55.75%	42.57%	1.67%

\* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

