

Report for U.S. Bancorp Investments, Inc.
SEC Rule 606 Report Disclosure
1st Quarter, 2018

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Securities, LLC	40.56%	42.20%	28.93%	50.03%
Virtu Financial, Inc.	27.50%	29.23%	18.57%	25.68%
UBS	19.36%	13.85%	49.51%	19.60%
Cantor Fitzgerald & Co.	12.58%	14.72%	2.99%	4.69%
Total Orders	100.00%	81.10%	14.79%	4.11%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Securities, LLC	50.04%	51.62%	32.55%	45.77%
Virtu Financial, Inc.	35.56%	35.36%	35.88%	41.55%
Cantor Fitzgerald & Co.	8.59%	9.18%	3.42%	3.24%
UBS	5.81%	3.84%	28.16%	9.44%
Total Orders	100.00%	89.96%	7.51%	2.53%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Securities, LLC	57.74%	58.43%	26.42%	55.77%
Virtu Financial, Inc.	30.88%	30.95%	24.34%	36.04%
Cantor Fitzgerald & Co.	7.89%	8.10%	1.21%	2.97%
UBS	3.49%	2.52%	48.03%	5.23%
Total Orders	100.00%	96.65%	2.04%	1.31%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Pershing	100.00%	100.00%	100.00%	100.00%
Total Orders	100.00%	33.74%	63.60%	2.65%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

