

Report for U.S. Bancorp Investments, Inc.
SEC Rule 606 Report Disclosure
4th Quarter, 2017

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Virtu Financial	39.92%	42.66%	27.55%	33.56%
Citadel Securities, LLC	25.60%	26.55%	17.94%	32.40%
UBS	21.68%	15.70%	50.46%	30.81%
Cantor Fitzgerald & Co.	12.80%	15.09%	4.05%	3.24%
Total Orders	100.00%	79.65%	14.79%	5.56%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Virtu Financial	39.60%	40.34%	36.92%	34.49%
Citadel Securities, LLC	37.16%	39.83%	22.75%	33.90%
UBS	12.89%	8.71%	35.75%	16.70%
Cantor Fitzgerald & Co.	10.36%	11.11%	4.57%	14.91%
Total Orders	100.00%	81.46%	14.15%	4.39%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Virtu Financial	54.27%	54.11%	45.16%	74.90%
Citadel Securities, LLC	30.51%	31.23%	11.76%	20.34%
Cantor Fitzgerald & Co.	9.80%	10.17%	1.99%	2.38%
UBS	5.41%	4.49%	41.08%	2.38%
Total Orders	100.00%	95.47%	2.64%	1.90%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Pershing	100.00%	100.00%	100.00%	100.00%
Total Orders	100.00%	30.71%	64.13%	5.16%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

