

Report for U.S. Bancorp Investments, Inc.
SEC Rule 606 Report Disclosure
3rd Quarter, 2017

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
KCG Americas, LLC	40.41%	44.19%	21.77%	38.85%
UBS	24.28%	16.83%	59.71%	31.09%
Citadel Securities, LLC	21.75%	23.18%	12.42%	27.76%
Cantor Fitzgerald & Co.	13.56%	15.80%	6.10%	2.31%
Total Orders	100.00%	79.01%	15.58%	5.41%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
KCG Americas, LLC	39.07%	43.43%	23.92%	38.86%
UBS	32.76%	24.64%	63.04%	21.83%
Citadel Securities, LLC	16.53%	17.89%	10.11%	25.66%
Cantor Fitzgerald & Co.	11.65%	14.04%	2.94%	13.65%
Total Orders	100.00%	74.60%	21.43%	3.97%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
KCG Americas, LLC	51.19%	50.86%	44.49%	71.29%
Citadel Securities, LLC	32.32%	33.03%	10.82%	23.23%
Cantor Fitzgerald & Co.	10.09%	10.48%	2.51%	0.94%
UBS	6.40%	5.63%	42.18%	4.53%
Total Orders	100.00%	95.52%	2.18%	2.31%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Pershing	100.00%	100.00%	100.00%	100.00%
Total Orders	100.00%	45.60%	50.50%	3.89%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

