

**Report for Apex Clearing Corporation**  
**SEC Rule 606 Report Disclosure**  
**2nd Quarter, 2019**

<b>NYSE Listed Securities</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Instinet, LLC	29.72%	0.40%	43.28%	15.32%
Citadel Securities, LLC	29.36%	29.74%	28.34%	34.47%
Virtu Financial, Inc.	22.97%	21.13%	19.94%	44.49%
G1 Execution Services, LLC	14.30%	39.57%	7.24%	0.04%
Total Orders	48.82%	24.33%	64.50%	11.17%

\* Orders for which customer requests special handling

<b>NASDAQ Listed Securities</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Instinet, LLC	30.73%	0.82%	40.52%	9.40%
Citadel Securities, LLC	29.51%	34.48%	28.98%	24.37%
Virtu Financial, Inc.	24.20%	20.15%	20.23%	62.90%
G1 Execution Services, LLC	12.10%	35.10%	8.18%	0.19%
Total Orders	63.95%	17.35%	73.31%	9.34%

\* Orders for which customer requests special handling

<b>Securities Listed on NYSE MKT/Regional Exchanges</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Citadel Securities, LLC	38.97%	51.45%	35.38%	43.07%
Instinet, LLC	25.22%	0.55%	33.67%	1.41%
Virtu Financial, Inc.	19.84%	16.02%	18.31%	48.74%
Two Sigma Securities, LLC	8.80%	17.74%	7.25%	0.02%
G1 Execution Services, LLC	6.39%	13.42%	5.13%	0.06%
Total Orders	68.18%	19.22%	74.31%	6.47%

\* Orders for which customer requests special handling

<b>Exchange-Listed Options</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Susquehanna Capital Group	34.18%	26.87%	34.62%	35.69%
Citadel Securities, LLC	23.98%	29.61%	23.60%	24.96%
Citigroup Global Markets Inc.	19.59%	9.07%	20.30%	17.70%
Dash Financial Technologies	17.78%	33.10%	16.75%	21.01%
Total Orders	33.08%	5.87%	92.45%	1.68%

\* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

