

**Report for Apex Clearing Corporation**  
**SEC Rule 606 Report Disclosure**  
**2nd Quarter, 2018**

<b>NYSE Listed Securities</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Instinet, LLC	37.98%	0.58%	67.22%	6.00%
Virtu Financial, Inc.	28.39%	42.39%	13.73%	56.75%
G1 Execution Services, LLC	17.42%	32.43%	9.90%	11.67%
Citadel Securities, LLC	12.51%	20.38%	8.19%	11.13%
Total Orders	32.45%	32.41%	55.11%	12.48%

\* Orders for which customer requests special handling

<b>NASDAQ Listed Securities</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Instinet, LLC	48.16%	1.22%	65.13%	6.98%
Virtu Financial, Inc.	22.07%	39.03%	12.78%	61.97%
G1 Execution Services, LLC	13.50%	30.10%	9.57%	11.72%
Citadel Securities, LLC	13.31%	24.68%	10.86%	10.22%
Total Orders	69.26%	18.17%	72.63%	9.20%

\* Orders for which customer requests special handling

<b>Securities Listed on NYSE MKT/Regional Exchanges</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Instinet, LLC	34.67%	1.72%	47.22%	2.39%
Citadel Securities, LLC	30.06%	48.09%	26.37%	12.50%
Virtu Financial, Inc.	13.68%	17.75%	8.92%	53.39%
G1 Execution Services, LLC	12.38%	19.11%	10.27%	13.93%
Two Sigma Securities, LLC	8.02%	13.27%	7.20%	0.00%
Total Orders	70.87%	21.17%	72.32%	6.50%

\* Orders for which customer requests special handling

<b>Exchange-Listed Options</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Wolverine Execution Services, LLC	51.03%	35.38%	52.04%	47.39%
Susquehanna Capital Group	19.91%	35.04%	18.90%	24.79%
Citadel Securities, LLC	10.43%	13.55%	10.13%	15.98%
Instinet, LLC	10.39%	2.02%	11.08%	0.69%
Citigroup Global Markets Inc.	6.24%	11.14%	5.91%	7.83%
Total Orders	50.72%	5.58%	92.58%	1.84%

\* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

